

भारतीय समुद्री विश्वविद्यालय INDIAN MARITIME UNIVERSITY

> (Central University, Govt. of India) HEADQUARTERS

Ref. No. IMU-HQ/R/71/64A/1/2019-PUR

Date: 21.09.2020

### IMU-HQ Procurement Circular 2/2020

- Sub: 1) Registration of Vendors in GeM Portal.
  - 2) Eligibility of Vendors from countries which share land border with India.
- **Ref:** Office Memorandum No.P-45021/112/2020-PP(BE-II)(E-43780) dated 24.08.2020 issued by the Ministry of Commerce and Industry.
- Vendors of IMU and prospective Bidders are hereby notified to register in the Government e-Market Portal (GeM) [which is a dedicated online, end to end solution for procurement of commonly used goods and services for all Central Government and State Government Ministries, Departments, Public Sector Units (PSUs) and Affiliated Bodies] as a seller. For details for registration process please visit <u>https://gov-registrations.org/gem.</u>
- 2) Attention of Vendors/ Bidders, having beneficial ownership in Countries which share land border with India and intend to participate in Public Procurement in India, is invited to Office Memorandum No. P-45021/112/2020-PP(BE-II)(E-43780) dated 24.08.2020 issued by the Ministry of Commerce and Industry, mandating that:

"Bidders having beneficial ownership in countries which share land border with India will be eligible to bid in public procurement, **only if they are registered with the Competent Authority**. Accordingly, the bidders, who have beneficial ownership in countries which share land border with India and intend to participate in public procurement in India, may submit application for "Registration" in the format enclosed as Appendix "A". Bidders are also required to submit application for "Security Clearance" in the format enclosed as Appendix "B". Complete application containing both "Registration" and "Security Clearance" formats, duly filled in, may be submitted in the Office of Joint Secretary (MKN), DPIIT, Room No. 236A, Udyog Bhawan, New Delhi."

Registrar (i/c) 21-09

**Annexures**: Appendix "A" - Application for "Registration". <u>Appendix "B"</u> - format for "Security Clearance".

#### To All Cam<u>p</u>us Directors

Copy to: VC (for info please), FO, DR(P), File

APPENDIX - A

# (Refers to Office Menno. Ref. No. P-45021/112/2020 -PP(BE-E) (BE-43786) dated 24.08.2020) Format for bidder registration under Rule 144(xi) of GFR of Min. of Comm. & Industry, 601.

Name of Bidder - as defined in the Department of	
Expenditure Order (Public Procurement No. 1) issued	
vide No. F.No.6/18/2019-PPD dated 23rd July, 2020	
Type of business entity	
(Natural Person/ Private Limited Company/ Public	
Limited Company/ Sole Proprietorship/One Person	
Company/ Partnership firm/ Limited Liability	the second se
Partnership/ Joint Venture/ Trust/ NGO/or any other	
type of entity)	
(ype of entity)	
In case of incorporated entity - to attach certificate of	
incorporation.	
Beneficial owners - as defined in the Department of	
Expenditure Order (Public Procurement No. 1) issued	
vide No. F.No.6/18/2019-PPD dated 23rd July, 2020	
Details of all beneficial owners having ownership more	
than that prescribed in Para 9 of Department of	
Expenditure Order (Public Procurement No. 1) issued	
vide No. F.No.6/18/2019-PPD dated 23rd July, 2020	
may be furnished in the format as given in Annexure -I	
duly certified by practicing Chartered Account in India.	
Complete address of the Registered Office with	
contact person name, telephone number and email Id.	
Whether registration is being sought as	
a. Manufacturer/ service provider/ contractor for	
supply of goods/ services / works	
or	
b. As an agent/reseller/distributor/member of	
consortium/ Branch Office/ Office	
Controlled by bidder/any subsidy of any	
artificial juridical person/ any other type of	
category)	
category)	

Bidder to give details in which category – registration is being sought.	
In case registration is being sought as anagent/reseller/distributor/Office controlled by bidder/ any other subsidy of any artificial juridical person /any other category other than manufacturers, service provider and contractor of above - the details of manufacturer/ service provider/ contractor may be furnished in Annexure- II.	
The details of items (goods/ services / works) for which registration is sought as per Annexure –III Financial details in INR/ US Dollar for last five	
financial years as per Annexure –IV duly certified by practicing Chartered Account in India.	

Note: The terminology "Works" in the entire document means "Works including turnkey works/ projects". Similarly, the terminology "Services" means "Consultancy as well as non-consultancy services".

#### Annexure-I

 -		Beneficial owne	er details
% beneficial	legal/ artificial juridical person/	Citizenship / Country of incorporation of legal/ artificial	In case of legal/ artificial juridical person/ entity, beneficial ownership details of such entities may be furnished and so on.

Details of beneficial ownership of M/s ......(Name of the bidder)

### **Annexure-II**

## Details of manufacturer/ service provider/ contractor

Name of manufacturer/ service provider/ contractor	
Type of business entity	
(Natural Person/ Private Limited Company/ Public Limited Company/ Sole Proprietorship/ One Person Company/ Partnership firm/ Limited Liability Partnership/ Joint Venture/ Trust/ NGO/or any other type of entity)	
In case of incorporated entity - to attach certificate of incorporation.	
<b>Beneficial owners</b> - as defined in the Department of Expenditure Order (Public Procurement No. 1) issued vide No. F.No.6/18/2019-PPD dated 23rd July, 2020	
Details of all beneficial owners having ownership more than that prescribed in Para 9 of Department of Expenditure Order (Public Procurement No. 1) issued vide No. F.No.6/18/2019-PPD dated 23rd July, 2020 may be furnished in the format as given in Annexure -I duly certified by practicing Chartered Account in India.	
Complete address of the Registered Office of manufacturer/ service provider/ contractor with contact person name, telephone number and email Id.	
In case of manufacturer, complete address of the <b>manufacturing premises</b> with name, telephone number and email Id of contact person.	
In case of service provider/ contractor, complete address of the premises from where services are provided may be given with name, telephone number and email Id of contact person.	
The <b>details of items</b> (goods/ services / works) for which registration is sought as per Annexure –III	
Financial details in INR/USD for last five financial years as per Annexure –IV duly certified by practicing Chartered Account in India.	

#### Annexure-III

Description of items (goods/ services / works) for	
which registration is being sought.	
Broad technical specification parameters/ details of items	
Annual Capacity of bidder for each of the goods/ services / works for which registration is being sought.	
Major public procuring entities in India for these items	
Details of contracts received in last 05 years for these items from public procuring entities in India in the format given in Annexure-V	
Details of contracts received in last 05 years for these item from private sector in India in the format given in Annexure-VI	
Details of outsourced components/goods and subcontracted works and services proposed to be used in execution of contract may be provided in the format given in Annexure –VII.	

#### Details of item (goods/ services / works) for which registration is sought

Note:-

- 1. Bidder can seek registration for multiple items in an application by providing requisite details for each of the item for which registration is being sought.
- 2. Registration will be valid for a period of one year from the date of issue.
- 3. If there is change in the beneficial ownership of the bidder/ manufacturer/ contractor/service provider this registration shall automatically stand annulled. Fresh registration need to be filed in such cases.

## Annexure-IV

Financial details in INR/ US Dollar for last five financial years duly certified by practicing Chartered Account in India.

Financial year (FY)	Net Sales turnover during the FY	Net Profit during the FY	Net worth at the end of the FY

#### Annexure-V

Details of contracts received in last 05 years from public procuring entities in India

ofgoods/ services / works with broad	Procuring entity details – Name and complete address of the Organization.	Qty and value	Status of Order Executed successfully/ under execution/ cancelled	the _

Note: The details are required to be furnished only for those goods/ services / works for which registration is being sought.

#### Annexure-VI

		Procuring entity details –		he
	0	Name and complete	Order	-
	works with broad technical	address of the Organization.	Executed successfully/	
	parameters	o i ginne i i o i i	under	
			execution/	
-			 cancelled	-
				-

Details of contracts received in last 05 years from private sector in India

Note: The details are required to be furnished only for those goods/ services / works for which registration is being sought.

#### Annexure-VII

Details of outsourced components/goods and subcontracted works and services proposed to be used in execution of contract

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Sr. No.	Details of	Major	technical	Manufactured by	Country of
		parameters	_	/Subcontracted to	Origin
	components/goods				
	and subcontracted				
	works and services				

\*The details are required to be furnished for top 20 high value outsourced components/goods and subcontracted works and services.

APPENDIX-B

# Refers to Office Menoo. Ref. No. P-45021/112/2020 - BP(BE-II) (E-43780) doled 21.08. Appendix - B AND - forma Studiustry 601 Proforma for application for security clearance for registration of bidders from countries which share land border with India

#### Details in respect of bidding company / person: 1.

SI. No.	Name of the company / person	Type of company (Pvt. Ltd. / Pub. Ltd. / sole proprietorship / one person company / partnership / LLP / JV / Trust / NGO etc.)	Country of registration in case of company / nationality (if holding multiple nationality, all must be mentioned) in case of person	Registration number with date in case of company / passport nos. and issue date in case of person	Registered office address and correspondence address in case of company / Contact Address in case of person	Previous name of the company, if any	Details of earlier registration, if any (ref. no. & date)
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#### Details of beneficial ownership of entity: 11.

SI.	Name of the	Country of registration,	Registered office	Details of intermediary	Enclose a chart depicting the link
No.	uals which/who are the	registration number with date in case beneficial owner is a company / nationality, passport nos. and issue date (if holding multiple nationality, all must be mentioned) in case beneficial owner is an individual	address in case of company and correspondence	company(s) / persons between bidder company or person and beneficial owner company / individual	between bidding company / person and the beneficial company / owners along with details such as address, parentage, passport details (in case of individuals) or company registration details (in case of companies)

#### III. Details in respect of Directors of bidding company:

SI.	Full Name of	Present position	Date of	Parentage	Present &	Nationality (if holding	Passport	Contact
No.	Board of Directors	held with date (since when)	birth	(name of father / mother)	Permanent Address	multiple nationality, all must be mentioned)	Nos. and issue date, if any	Address & telephone number

IV. Details of shareholders of bidding company (all companies/entities/individuals with more than 10% shares or having controlling ownership interest or exercising control through other means in case of less than 10% shares):

SI.	Full Name	Parentage	Permanent address /	Present	Nationality, in case of	Passport Nos.	% of shares
No.	of individual / company	(name of father / mother) in case of individuals, and registration number in case of companies	present address in case of individuals, and registered and correspondence address in case of companies	position held, in any, in the applicant company	individual (if holding multiple nationality, all must be mentioned) / country of registration, in case of company	and date of issue, if any (date of birth, in case passport is not available) for individuals	held in the company

V. Details of tender(s) and specific goods / services / works proposed to be supplied:

VI. Reasons for seeking registration with Registration Committee of DPIIT: A brief note be attached

VII. Details of nature of activities undertaken by bidding company / person: A brief note be attached.

VIII. Details of nature of activities undertaken by beneficial owner of bidding company / person: A brief note be attached.

IX. Details of criminal cases, if any, against the bidding company, its director(s) or person as per annexure

:

#### Self-declaration for bidding company and its director(s) / owners or person

- a. Name & address and registration number of the company
- b. Name and address of owners (in case of proprietorship firm) / directors of the company / person

1.	
2.	
3.	
4	

c. Are the company owners (in case of proprietorship firm) / directors / person listed above, are the subject of any?

1.	Preventive	detention	proceedings	under	:	Yes / No		
	Public Safety Act / National Security Act etc.							
2	Criminal in	continention	in which charm	acheat				

2. Criminal investigation in which chargesheet : Yes / No has been filed

#### d. If, Yes, please provide following details

1.	Case / FIR number :	
2.	Detention / warrant number, if any,	:
3.	Police station / district / agency	
4.	Sections of law under which case(s) has / have been filed	:
5.	Name and place of the court	:

e. The above mentioned details are in respect of both India and any other foreign country.

#### (Signature)

Note: The above self-declaration is required to be filled and signed by the authorized signatory of the company.